

Message Text

LIMITED OFFICIAL USE

PAGE 01 NEW DE 05878 222243Z

56

ACTION NEA-10

INFO OCT-01 ISO-00 OES-06 NSF-01 HEW-04 COME-00 EPA-01

CEQ-01 SMI-01 DODE-00 AGR-05 EB-07 AID-05 IGA-02 L-03

H-02 CIAE-00 INR-07 NSAE-00 SP-02 SS-15 NSC-05 PM-04

PA-01 PRS-01 USIA-06 /090 W
----- 059263

R 221025Z APR 76

FM AMEMBASSY NEW DELHI

TO SECSTATE WASHDC 5453

LIMITED OFFICIAL USE NEW DELHI 5878

PASS DHEW/PHS, NSF, NBS, EPA-SMI-ONR-NOAA

EO 11652: NA

TAGS: PFOR, US, IN, TBIO

SUBJ: INDIAN CLEARANCE PROCEDURES FOR FOREIGN SPONSORED
RESEARCH

REF: (A) NEW DELHI 4683 (B) NEW DELHI 4442

SUMMARY: AS A RESULT OF THE PUBLIC ACCOUNTS COMMITTEE
REPORT OF APRIL 1975, THE GOI HAS ESTABLISHED A CENTRAL
PROCEDURE FOR THE REVIEW AND APPROVAL OF ALL FOREIGN SPONSORED
RESEARCH PROJECTS. THIS PROCEDURE WILL TAKE INTO CONSIDER-
ATION THE NATIONAL SECURITY ASPECTS OF ANY NEW JOINT RESEARCH
PROJECTS. THE EMBASSY HAS JUST RECEIVED NOTIFICATION FROM
THE MEA THAT THE GOI HAS ENDORSED ALL OF THE REMAINING ACTIVE
RESEARCH PROJECTS SUBMITTED TO THEM BY THE EMBASSY. WE ARE
NOW WAITING TO BE INFORMED OFFICIALLY OF THE NEW CLEARANCE
PROCEDURE ESTABLISHED BY THE GOI, AND HOW THEY INTEND TO NOTIFY
US THAT A NEW PROJECT HAS RECEIVED THEIR FULL ENDORSEMENT.
MEANWHILE THE DOST IS MOVING AHEAD ON FORMULATING THE PROJECTS
AGREED TO AT THE JANUARY MEETING OF THE JOINT SUB-COMMISSION
ON S&T. END SUMMARY.

LIMITED OFFICIAL USE

LIMITED OFFICIAL USE

PAGE 02 NEW DE 05878 222243Z

1. THE PUBLIC ACCOUNTS COMMITTEE OF THE LOK SABHA (LOWER HOUSE OF PARLIAMENT) TABLED A REPORT #463 IN APRIL 1975, ON FOREIGN PARTICIPATION OR COLLABORATION IN RESEARCH PROJECTS IN INDIA WHICH ATTACKED SEVERAL U.S. AND WHO SUPPORTED RESEARCH PROJECTS IN INDIA, AND STRONGLY RECOMMENDED THAT THE GOI SET UP A HIGH LEVEL REVIEW GROUP TO LOOK INTO ALL ONGOING AND PROPOSED PROJECTS SPONSORED BY FOREIGN ORGANIZATIONS FROM THE STANDPOINT OF NATIONAL SECURITY AND SENSITIVITY.

2. IN MARCH 1976 THE PAC TABLED ANOTHER REPORT #200 ON FOREIGN SPONSORED RESEARCH IN INDIA (SEE REFTEL A) WHICH CONTAINED THE GOI RESPONSES TO THE EARLIER REPORT AND IN PARTICULAR SPECIFIED THE REVIEW PROCEDURE WHICH THE GOI WAS ESTABLISHING. ACCORDING TO THE REPORT AN DOTHER INFORMATION WE HERE OBTAINED FROM GOI OFFICIALS, THE GOI HAD ESTABLISHED A "HIGH LEVEL COMMITTEE" CHAIRED BY THE CABINET SECRETARY MR. PANDE; WITH MEMBERS--DR. M.G.K. MENON, THE RESEARCH ADVISOR TO THE MINISTRY OF DEFENSE; AND DR. A.R. RAMACHANDRAN, SECRETARY, DOST. THIS COMMITTEE WAS TO DEVELOP THE GUIDELINES FOR OVERALL GOI REVIEW OF ALL FOREIGN SPONSORED RESEARCH. AFTER A SERIES OF MEETINGS WITH CONCERNED MINISTRY AND RESEARCH COUNCIL OFFICIALS, THE "HIGH LEVEL COMMITTEE" RECOMMENDED THE FOLLOWING STEPS:

I. TO ESTABLISH A COMMITTEE CHAIRED BY THE SECRETARY, MINISTRY OF FINANCE COMPOSED OF JOINT SECRETARY LEVEL MEMBERS FROM ALL SCIENCE AGENCIES IN ADDITION TO THE UGC, AND HOME MINISTRY. THIS COMMITTEE WAS ESTABLISHED FOR THE PURPOSE OF REVIEWING ALL NEW FOREIGN SPONSORED RESEARCH PROPOSALS THAT WERE SUBMITTED TO THEM BY THE CONCERNED MINISTRIES AND RESEARCH COUNCILS.

II. THE FUNCTIONAL MINISTRY WOULD HAVE THE BASIC RESPONSIBILITY FOR PREPARING THE PROPOSAL AND SUBMITTING IT TO THE FINANCE COMMITTEE FOR REVIEW. IF THE MINISTRY FELT THE PROPOSAL WAS AT ALL SENSITIVE, THIS PROPOSAL SHOULD BE SENT TO THE PANDE HIGH LEVEL COMMITTEE FOR A SECURITY REVIEW.

III. THE PANDE COMMITTEE ALSO INSTRUCTED ALL LIMITED OFFICIAL USE

LIMITED OFFICIAL USE

PAGE 03 NEW DE 05878 222243Z

MINISTRIES THAT , IN THE FUTURE, ONLY PROPOSALS THAT ARE ABSOLUTELY ESSENTIAL FOR COLLABORATION WITH U.S. SCIENTISTS SHOULD BE SUBMITTED FOR SUPPORT WITH U.S.-OWNED RUPEES. THESE PROPOSALS MUST ALSO BE SPECIFIED UNDER ONE OF THE AREAS OF PRIORITY ESTABLISHED BY THE INDO/U.S. JOINT SUB-COMMISSION ON SCIENCE & TECHNOLOGY.

IV. THE GOI ESTABLISHED A SPECIAL FUND IN THE MOF

(DEPT. OF EXPENDITURES) AMOUNTING TO RS.20 MILLION TO BEGIN IN FY 1976-77 (APRIL 1976). IT WAS DETERMINED THAT ALL PROPOSALS THAT HERETOFORE WERE SUBMITTED BY THE VARIOUS MINISTRIES AND RESEARCH COUNCILS FOR U.S. ASSISTANCE BUT ARE NOT ABSOLUTELY ESSENTIAL FOR U.S. COLLABORATION WOULD BE FUNDED IN THE FUTURE OUT OF THIS CENTRAL FUND OF 20 MILLION RUPEES, WHICH WOULD BE SUPPLEMENTED AS NEEDED. THE DOST WOULD BE COORDINATING AUTHORITY OVER THIS FUND AND APPROVE THE RESEARCH PROPOSALS SUBMITTED BY THE VARIOUS MINISTRIES FOR SUPPORT. THE MOF, DEPT. OF EXPENDITURE WOULD BE RESPONSIBLE FOR THE DISTRIBUTION OF THESE FUNDS.

(THE EMBASSY SCIENCE OFFICER WAS TOLD BY DESHPANDE DOST THAT HIS OFFICE HAS CONTROL OVER THE MOF SPECIAL FUND FOR RESEARCH SUPPORT AND THAT THEY WERE DEVELOPING GUIDELINES FOR USING A MAJOR PART OF THESE FUNDS FOR THE INDIAN CONTRIBUTIONS TO PROPOSALS APPROVED BY THE JOINT SUBCOMMISSION ON S&T. HE ALSO SAID THAT HIS DEPT. WILL NOW BE MOVING AHEAD ON FORMULATING NEW PROPOSALS IN THE AREAS REFERRED TO IN REFTEL B AND WHICH WERE AGREED TO AT THE JANUARY MEETING OF THE JOINT SUBCOMMISSION ON S&T.

4. COMMENTS: THE MINISTRY OF FINANCE COMMITTEE HAS NOT YET MET TO CONSIDER ANY NEW PROPOSALS, ALTHOUGH THERE ARE APPROXIMATELY 45 PROPOSALS IN THE PIPELINE, ABOUT 25 OF WHICH WILL BE CONSIDERED FOR U.S. RUPEE SUPPORT. THESE PROPOSALS ARE MOSTLY IN THE FIELD OF HEALTH AND AGRICULTURE. THE PANDE COMMITTEE HAS NOT GIVEN THE MOF REVIEW COMMITTEE THEIR GUIDELINES FOR REVIEWING NEW PROPOSALS AND HAS ASKED THEM TO WAIT UNTIL A DETERMINATION HAS BEEN MADE ON THE ACTIVE SPECIAL FOREIGN CURRENCY RESEARCH PROJECTS SUBMITTED BY THE EMBASSY TO THE PANDE COMMITTEE LAST NOVEMBER FOR THEIR REVIEW AND ENDORSEMENT. IN FEBRUARY THE EMBASSY RECEIVED GOI ENDORSEMENT ON 124 OF THE 185 PROJECTS SUBMITTED.

LIMITED OFFICIAL USE

PAGE 04 NEW DE 05878 222243Z

MITTED AND APRIL 20 WE RECEIVED WRITTEN CONFIRMATION FROM MEA OF GOI ENDORSEMENT ON ALL OF THE REMAINING PROJECTS.

5. PRIOR TO LIFTING OUR BAN ON NEW PROJECTS, WE WILL WANT TO BE OFFICIALLY INFORMED OF THE ABOVE PROCEDURE (WHICH WE HAVE LEARNED OF INFORMALLY) AND OF HOW THE GOI INTENDS TO NOTIFY US THAT A PROJECT HAS RECEIVED ITS FULLY COORDINATED SUPPORT AND ENDORSEMENT. WE ARE, HOWEVER, NOW MAKING PROGRESS TOWARD THIS POINT. WE WILL KEEP THE WASHINGTON AGENCIES INFORMED.

SAXBE

LIMITED OFFICIAL USE

NNN

Message Attributes

Automatic Decaptioning: X
Capture Date: 01 JAN 1994
Channel Indicators: n/a
Current Classification: UNCLASSIFIED
Concepts: REPORTS, SCIENTIFIC COOPERATION, MEDICAL RESEARCH
Control Number: n/a
Copy: SINGLE
Draft Date: 22 APR 1976
Decaption Date: 01 JAN 1960
Decaption Note:
Disposition Action: RELEASED
Disposition Approved on Date:
Disposition Authority: saccheem
Disposition Case Number: n/a
Disposition Comment: 25 YEAR REVIEW
Disposition Date: 28 MAY 2004
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
Document Number: 1976NEWDE05878
Document Source: CORE
Document Unique ID: 00
Drafter: n/a
Enclosure: n/a
Executive Order: N/A
Errors: N/A
Film Number: D760154-0799
From: NEW DELHI
Handling Restrictions: n/a
Image Path:
ISecure: 1
Legacy Key: link1976/newtext/t19760434/aaaabcis.tel
Line Count: 165
Locator: TEXT ON-LINE, ON MICROFILM
Office: ACTION NEA
Original Classification: LIMITED OFFICIAL USE
Original Handling Restrictions: n/a
Original Previous Classification: n/a
Original Previous Handling Restrictions: n/a
Page Count: 4
Previous Channel Indicators: n/a
Previous Classification: LIMITED OFFICIAL USE
Previous Handling Restrictions: n/a
Reference: 76 NEW DELHI 4683, 76 NEW DELHI 4442
Review Action: RELEASED, APPROVED
Review Authority: saccheem
Review Comment: n/a
Review Content Flags:
Review Date: 22 JUL 2004
Review Event:
Review Exemptions: n/a
Review History: RELEASED <22 JUL 2004 by BoyleJA>; APPROVED <05 OCT 2004 by saccheem>
Review Markings:

Margaret P. Grafeld
Declassified/Released
US Department of State
EO Systematic Review
04 MAY 2006

Review Media Identifier:
Review Referrals: n/a
Review Release Date: n/a
Review Release Event: n/a
Review Transfer Date:
Review Withdrawn Fields: n/a
Secure: OPEN
Status: NATIVE
Subject: INDIAN CLEARANCE PROCEDURES FOR FOREIGN SPONSORED RESEARCH
TAGS: PFOR, TBIO, US, IN
To: STATE
Type: TE
Markings: Margaret P. Grafeld Declassified/Released US Department of State EO Systematic Review 04 MAY 2006